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## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<b>(21) International Application Number:</b> PCT/US93/09334 <b>(22) International Filing Date:</b> 1 October 1993 (01.10.93)  <b>(30) Priority data:</b> 07/955,166 1 October 1992 (01.10.92) US  <b>(71) Applicant:</b> CARDIAC PACEMAKERS, INC. [US/US]; 4100 Hamline Avenue North, St. Paul, MN 55112-5798 (US).  <b>(72) Inventors:</b> DAHL, Roger, W. ; 112 150th Lane, N.E., Andover, MN 55307 (US). SWANSON, David, K. ; 2405 Pascal Street North, Roseville, MN 55113 (US). LIPSON, David ; 13109 Pomard Way, Poway, CA 92064 (US).		<b>(74) Agents:</b> COHN, Ronald, D. et al.; Keck, Mahin & Cate, P.O. Box 06110, Chicago, IL 60606-0110 (US).  <b>(81) Designated States:</b> AU, CA, JP, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).  <b>Published</b> <i>Without international search report and to be republished upon receipt of that report.</i>
<b>(54) Title:</b> STENT-TYPE DEFIBRILLATION ELECTRODE STRUCTURES  <b>(57) Abstract</b>  Implantable electrode structures for use in apparatus for applying electrical therapy to a patient's heart in the treatment of arrhythmias such as tachycardias and fibrillations of the heart are herein disclosed. The electrode structures are made in the form of expandable (or self-expanding) intravascular stents for insertion through the patient's vascular system to locations in or adjacent the heart. The electrode structures can be inserted into the great veins by insertion techniques used for intravascular stent applications and provide increased electrode surfaces for discharge of electrical energy through the heart in conjunction with other strategically placed electrodes. The wire filament of the stents may be evenly spaced to form a circumferential array or may be non-uniformly spaced to form an elliptical array.		

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**STENT-TYPE DEFIBRILLATION ELECTRODE STRUCTURES****BACKGROUND OF THE INVENTION**

This invention relates to apparatus for applying electrical pulse treatment to the heart such as for reversing tachycardias and fibrillations of the heart. More particularly, the invention relates to novel forms of electrode structure for use in such apparatus.

It is known to pace, cardiovert and defibrillate the heart using implanted electrodes and such electrodes are currently available in a variety of different constructions. Some such electrodes reside in the heart, some are affixed to the surface of the heart, and others are implanted just beneath the surface of the skin. Examples of such electrodes are disclosed in U.S. Patents Nos. 3,942,536; 4,291,707 and 4,662,377.

Furthermore, electrodes are known which are intended for temporary residence in veins and arteries. One such example is disclosed in U.S. Patent No. 4,660,571 which describes a lead suitable for mapping, ablation and/or pacing.

In their simplest form, most two electrode defibrillation/cardioversion lead systems can be modeled as a series of one or more resistors across the output of a signal generator. This model incorporates five series resistors which represent the two electrodes, their conductors and the tissue between the electrodes. These resistors form a voltage divider controlling both the current flowing in the circuit and the voltage drop across each component. Since the purpose of defibrillation is to stimulate tissue, the voltage drop across the conductors and electrode interfaces is wasted energy. Therefore, maximizing

defibrillation efficiency is based on minimizing the electrode interface impedance (near field impedance).

The current distribution across an electrode surface is determined by ohmic (field) rather than  
5 kinetic (chemical) factors when voltages exceed approximately 30 volts. Thus, the current density around the perimeter or physical extremes of defibrillation electrodes are dramatically higher than at their center. Several consequences result. First,  
10 the center of a planar electrode is of marginal significance and can be eliminated with relatively little impact on system impedance. Second, the larger the perimeter of an electrode, the lower the near field impedance of the electrode. This decrease in what is  
15 commonly referred to as "interface impedance" increases efficiency by increasing the percentage of the electrical voltage delivered to the tissue. Finally, increasing the separation distance between adjacent active surfaces of the same polarity reduces the  
20 electrode's near field impedance by decreasing the current density between surfaces. Thus, within limits (approximately 3 cm), there is an advantage to increasing the separation distance between adjacent electrode surfaces.

25 It is also known that the distribution of current density with respect to the heart affects the efficiency with which a heart is defibrillated.

#### SUMMARY OF THE INVENTION

It is an object of the invention to provide an  
30 implantable electrode capable of supporting high currents appropriate for heart treatments and for delivering electrical energy to the heart with maximum efficiency.

It is another object of the invention to  
35 provide an electrode of the kind described which can be

implanted in a major vein and which is capable of supporting current for cardiac pacing, cardioverting and defibrillating without substantially impeding blood flow through the vein.

5 Another object of the invention is to provide an intravascular electrode structure which can be used in apparatus for applying electrical therapy to the heart, which can be implanted with relative ease and which is suitable for use at various locations in and  
10 around the heart dependent on a patient's individual needs and characteristics.

Broadly stated, the present invention provides an electrode structure for use in apparatus supplying electrical therapy to the heart, the electrode  
15 structure being in the form of an intravascular stent of electrically conducting material with means for electrically connecting the stent to suitable electrical therapy applying equipment.

A stent electrode structure in accordance with  
20 the invention may be implanted intravenously generally in a manner well known per se for stent implantation at a strategic location in or near the heart in a major vein. The stent may be connected via a suitable intravascular conductor to an implanted pulse generator  
25 having a further electrode or electrodes associated therewith, that may also comprise one or more additional stent electrodes, intracardiac or intravascular catheter electrodes, patch electrodes or combinations thereof, for applying electrical therapy  
30 to the heart.

A stent electrode in accordance with the invention may, for example, be constructed from a surgical-grade stainless steel alloy which is geometrically stable, pliable, and self expanding. Due  
35 to its elastic and pliable properties, the diameter of

the stent may be substantially reduced by a constraining member for introduction into a vein, the stent returning to its original diameter once the constraining member is removed so as to make intimate  
5 contact with the vein wall while allowing blood to flow through the stent substantially unimpeded. Stent structures per se are known in numerous configurations and may be readily adapted for use as a stent electrode structure in accordance with the invention.

10 It is understood, for the purposes of this application, that a stent electrode structure is an electrically conducting structure of generally tubular form and of expandable diameter. It is contemplated that the stent can be compressed from its relaxed  
15 in-use configuration, introduced into the vein, and then permitted to expand toward its relaxed state. Accordingly, stent structures for use in the invention, have a relatively large diameter and reduced length when in place, such diameter being selected in  
20 accordance with a vein into which the structure is to be inserted so that when in place, the stent will intimately contact the inner wall of the vein. The structure is such, however, that the diameter can be reduced, correspondingly increasing the length of the  
25 stent for insertion through the vein by a catheter or the like in known manner.

Alternatively, the stent diameter may be sized smaller than the vascular system in its relaxed state, and introduced in its relaxed state to its final  
30 position. In this case, there is no need to compress the stent for introduction.

The stent electrode comprises a plurality of conductive wires which are spaced from each other at a maximum distance for lowering the interface impedance

of the electrode and thus maximizing discharge efficiency.

Furthermore, because stent electrode structures according to the invention can be made to  
5 any suitable length and diameter without impeding blood flow, they can provide electrodes of larger surface area than known implantable electrodes, therefore being more able to support the currents needed for supplying electrical shock therapy to the heart.

10 Exemplary stent structures which are particularly suitable for use in the invention will be described below with reference to the accompanying drawings.

#### BRIEF DESCRIPTION OF DRAWINGS

15 Figure 1 is a diagrammatic view of apparatus in accordance with the invention implanted in a patient for applying electrical therapy to the heart in the treatment of tachycardia and fibrillations, such apparatus including a single stent electrode structure.

20 Figure 2 is a view similar to Figure 1 showing apparatus in accordance with the invention having a plurality of strategically located stent electrode structures.

Figure 3 is a sectional side elevational view  
25 of a distal stent electrode structure in accordance with the invention.

Figure 4 is a sectional view on line 4-4 of Figure 3.

Figure 5 is a sectional view on line 5-5 of  
30 Figure 3.

Figure 6 is a sectional elevational view of a proximal stent electrode structure in accordance with the invention.

Figure 7 is a sectional view on line 7-7 of  
35 Figure 6.

Figure 8 is a perspective view of a modification to the electrode shown in Figures 6 and 7.

Figure 9 is a cross-sectional view of a further modification of the stent electrode according to the present invention.

#### DESCRIPTION OF PREFERRED EMBODIMENTS

Figure 1 shows apparatus for applying electrical therapy to the heart, for example, in the case of a tachycardia or fibrillation, such apparatus comprising a known form of implanted pulse generator 10 and implanted electrodes 12 and 14 connected to the pulse generator by respective conductors 16 and 18. Electrode 12 may, for example, comprise a known form of subcutaneous patch electrode structure, or may alternatively comprise a subclavian electrode. Electrode 14, on the other hand, comprises an intravascular stent electrode structure, in accordance with the invention, which in the illustrated example of figure 1 is inserted in the inferior vena cava (IVC). The configuration of the stent electrode structure is such that in its radially expanded condition, the diameter of the structure substantially corresponds with the diameter of the IVC whereby the periphery of the electrode is in contact with the interior wall of the IVC, while the nature of the stent structure still allows for substantially unimpeded blood flow through the IVC.

While numerous known forms of stents or emboli filters may be used to form the electrode structure 14, for example, woven or braided stent structures, a particularly useful form of electrode structure is illustrated in figures 3-5. Referring, therefore, in more detail, to figures 3-5, the stent structure 14, referred to as a distal stent electrode, comprises a distal crimp tube 20 which forms a first end of the

distal electrode. The distal crimp tube 20 may have an outer diameter of about .070 inches and acts as an end point for the distal electrode structure denoting the furthest point that the distal electrode reaches into the vein. Within the distal crimp tube 20 is an inner crimp tube 22. The inner crimp tube 22 may allow the distal crimp tube to temporarily secure a stylet wire (not shown) used for placing the electrode in the proper position in the vein. Between the respective crimp tubes, are positioned a circular array of parallel electrode wires 24 which are crimped between the tubes. The wires typically number between 3-12 and may be constructed of MP35N coated with platinum. The wires preferably are pre-bent to assume a basket-like configuration in their relaxed state as shown in figure 3 with an outer diameter greater than the diameter of the crimp tube 22, but the wires may be radially resiliently compressed in the manner of a stent to allow insertion through the vein. In the relaxed radially expanded state, the wires allow substantially unimpeded blood flow through the electrode structure.

Secured to the opposite end of the wires 24 is a proximal crimp tube 26 having a like diameter to tube 20. Again, the wires 24 are suitably crimped in a blind bore 28 at the forward end of the crimp tube 26. The conductor 18 (or a lead for connection to conductor 18) may be suitably crimped in a further blind bore 30 at the proximal end of crimp tube 26. The crimp tube 26 and the conductor 18 may be covered by a suitable insulating sheath 32. For the sake of clarity, figure 5 shows the individual wires 24 being spaced around the circumference of the blind bore in crimp tube 26. It will be understood, however, that, when the wires are crimped within the blind bore, they will be closely bunched together. The outer diameter of the wires in

their relaxed shape need not be greater than the diameter of the crimp tubes 22 and 26.

Reverting to figure 1, the electrodes 12 and 14 are strategically placed relative to the heart to provide an electrical field encompassing the heart upon receipt of electrical pulses from the generator 10. In order to position electrode 14 within the IVC as illustrated, or in another suitable intravascular location, the electrode may be placed within a constraining catheter (introducer) or the like to reduce its diameter and may be introduced to the vein. Upon removal of the catheter, the electrode expands to its natural diameter contacting the interior wall of the vein while allowing substantially unimpeded blood flow. Alternatively, the stent may be introduced in its relaxed state via a balloon catheter or the like, if it is the version sized smaller than the vascular system, in relaxed state. To implant the electrode, standard cut-down techniques to expose the vein may be used. A guide wire may be passed into the inferior vena cava to the level of the diaphragm once the vein is exposed. An introducer and dilator may then be introduced over the guide wire to the same depth as the guide wire. The guide wire and dilator may be removed and the electrode catheter positioned through the introducer to a position distal to its final position. The introducer is then removed and the catheter is moved to its final position. Dependent on the strength and number of electrode filaments in the catheter, a stylet wire may be needed to position the distal end of the distal electrode. In this case, the stylet wire is temporarily secured to the distal crimp tube to place the electrode in position and crimp tube 26 would incorporate a through hole. The electrode 12 and pulse generator 10

may be subcutaneously inserted into the patient in a well known manner.

The distal stent electrode 14 shown in figure 1, may conveniently be located in the SVC/IVC extending from above the plane of the top of the atrium to below the plane of the RV apex.

Another arrangement in accordance with the invention is shown in figure 2 where two stent electrode structures 40 and 42 are used in combination with the pulse generator 10 and subcutaneous patch electrode 12. The electrode structure 40 in figure 2 may be a distal-type electrode as illustrated in figures 3-5, and may be connected to the pulse generator through lead 44. This electrode may be positioned with its distal end at the same level as the RV apex. The second electrode 42 may be a somewhat smaller electrode structure, referred to as a proximal stent electrode structure and having a construction shown, for example, in figures 6 and 7.

The structure shown in figures 6 and 7 comprises a pair of spaced ring electrodes 46, 48 interconnected by a circumferential array of wire filaments 50 which may again be IP35N coated with platinum. The respective wires may be welded to the ring electrodes 46 and 48 and to this end the ring electrodes may include circumferential grooves to receive the wires and minimize damage to a vein wall when the electrode structure is inserted. As in the previously described electrode structure, the wires 50 preferably are pre-shaped to have a radially expanded basket-like configuration as shown in figure 6 so as to intimately contact the vein wall while allowing unimpeded blood flow through the electrode. The wires, however, can be resiliently compressed for insertion of the electrode in like manner to the insertion

techniques previously described. The ring electrode 48 may be connected to a suitable conductor 52 with a sheath 54 of silicon rubber or the like. The conductor 52 will be suitably connected to the pulse generator 10 in like manner to the conductors 16 and 44. The electrode structures 40 and 42 and their associated conductors 44 and 52 may be carried in the same or separate lead bodies and may be inserted by the techniques previously described. In the arrangement shown in figure 2, for example, the electrode 40 may be positioned with its distal end at the same level as the RV apex and the electrode 42 may be positioned in the superior vena cava.

The arrangement shown in Figure 2, may be used, for example, where a more comprehensive electric field encompassing the heart is required as compared with the arrangement shown in Figure 1.

Figure 8 illustrates a modification to the electrode shown in Figures 6 and 7. Specifically, one end of each of the wire filament electrodes is twisted or bent along the longitudinal axis of the electrode so that the filaments as a group are twisted about the longitudinal axis. Also, the filaments may be bent so that the ring electrodes 46 and 48 are not coaxial with one another. This is useful in focusing discharge in a particular direction. The electrodes of Figures 1-5 may be modified in a similar manner.

Figure 9 illustrates another modification in which the electrode has non-circularly symmetric geometry. That is, the electrodes 14, 40 and 42 may have an elliptical cross-section with a minor axis a and a minor axis b. The wire filaments are not uniformly spaced. There is a greater number, and hence, density of conductive wire filaments along the major axis b. Therefore, a greater current flow of current

density  $J_1$  will be generated perpendicular to the major axis than the current density  $J_2$  perpendicular to the minor axis. This is advantageous if it is desired to focus current density in a particular direction  
5 corresponding to the major axis.

It will be evident from the above, that the invention comprises the use of a expandable electrode similar to a vascular stent or emboli filter for applying electrical pulses to the heart in the  
10 treatment of arrhythmias such as tachycardias or fibrillations. The electrode structures may take the form of those described above. Alternatively, other known stent structures may be used, such as woven, braided or expanded rolled corrugated sheet structures.  
15 The electrodes may be positioned in one of the great veins or arteries by use of an insertion system including guide wires, vein dilators, vein introducers, using a femoral approach or a subclavian approach according to techniques well known per se in the art.  
20 The electrodes may be positioned in the inferior vena cava, the superior vena cava, the cephalic vein or any of the heart chambers.

The foregoing description is intended by way of example only and is not intended to limit the  
25 present invention in any way except as set forth in the following claims.

CLAIMS

1. In apparatus for applying electrical therapy to a patient's heart for treatment of cardiac arrhythmias including tachycardias and fibrillations, comprising pulse generator means and at least two electrode structures implantable within the patient's body and having means electrically connecting the structures to the pulse generator means for discharging electricity through the heart in response to pulses applied by the pulse generator means, the improvement wherein at least one of said electrode structures comprises an expandable electrically conducting intravascular stent for location in or adjacent the heart and providing substantially unimpeded blood flow therethrough when positioned in the vascular system.

2. The improvement as defined in claim 1, wherein the stent comprises an array of wire filaments and end members interconnecting the filaments at opposite ends thereof, the filaments being shaped into a radially compressible basket-like configuration.

3. The improvement as defined in claim 1, wherein the wire filaments are non-uniformly spaced.

4. The improvement as defined in claim 3, wherein the wire filaments are arranged in an elliptical array having a major axis and a minor axis, a greater number of wire filaments being disposed along the major axis than the minor axis so as to focus greater current density perpendicular to the major axis.

5. The improvement as defined in claim 2, wherein said end members comprise a distal end crimp tube surrounding the filaments at one end thereof and a proximal end crimp tube surrounding the filaments at an opposite end thereof.

6. The improvement as defined in claim 5, further including an inner crimp tube within the distal end crimp tube, with respective ends of the filaments being trapped between the distal end crimp tube and the inner crimp tube.

7. The improvement as defined in claim 5, wherein the proximal end crimp tube is attached to an electric conductor for connecting the electrode to the generator means.

8. The improvement as defined in claim 7, wherein the proximal end crimp tube has a first blind bore receiving respective ends of the filaments and a second blind bore receiving the conductor.

9. The improvements as defined in claim 2, wherein the end members comprise respective ring electrodes and respective ends of the filaments have weld connections with the ring electrodes.

10. The improvement as defined in claim 9, wherein the ring electrodes have external grooves receiving the respective ends of the filaments and said weld connections.

11. The improvement as defined in claim 1, wherein at least two of said electrode structures comprise intravascular stent structures.

12. The improvement as defined in claim 11, wherein said electrode structures each comprise an array of wire filaments, and end members interconnecting the filaments at opposite ends thereof, the filaments being shaped into a radially compressible basket-like configuration.

13. The improvement as defined in claim 12, wherein the wire filaments are non-uniformly spaced.

14. The improvement as defined in claim 13, wherein the wire filaments are arranged in an elliptical array having a major axis and a minor axis,

a greater number of wire filaments being disposed along the major axis than the minor axis so as to focus greater current density perpendicular to the major axis.

5           15. The improvement as defined in claim 12, wherein one of said electrode structures comprises a distal electrode structure wherein the end members comprise crimp tubes in which respective ends of the filaments are trapped and the other of said electrode  
10 structures comprises a proximal electrode structure wherein the end members comprise ring electrodes to which respective ends of the filaments are welded.

          16. The improvement as defined in claim 12, wherein the electrodes each have an electrical  
15 conductor lead for connecting same to the pulse generator, said leads being contained in a common lead body.

          17. The improvement of claim 1, wherein the stent is resiliently compressible radially for  
20 insertion thereof through the patient's vascular system and radially expanding into contact of a vein wall in the vascular system.

          18. Apparatus for applying electrical therapy to a patient's heart for the treatment of cardiac  
25 arrhythmias including tachycardias and fibrillations, comprising pulse generator means subcutaneously inserted in the patient's body and at least two electrode structures connected to the pulse generator means by respective electrical conductors, the  
30 electrode structures being implanted within the patient's body for discharging electrical energy through the heart in response to pulses applied by the pulse generator means, wherein at least one of the electrode structures comprises an expandable  
35 electrically conducting intravascular stent located in

a vascular passage in or adjacent the patient's heart, the stent being in contact with an inner wall of said passage and providing substantially unimpeded blood flow through the passage.

5           19. Apparatus as defined in claim 17, wherein the stent is positioned in the SVC/IVC extending from above the plane of the atrium to below the plane of the RV apex.

10           20. Apparatus as defined in claim 17, wherein the stent comprises an array of wire filaments and end members connected opposite ends of the wire filaments, the wire filaments being shaped into a basket-like configuration.

15           21. Apparatus as defined in claim 19, wherein the wire filaments are non-uniformly spaced.

20           22. Apparatus as defined in claim 20, wherein the wire filaments are arranged in an elliptical array having a major axis and a minor axis, a greater number of wire filaments being disposed along the major axis than the minor axis so as to focus greater current density perpendicular to the major axis.

23. Apparatus as claimed in claim 19, wherein the wire filaments are twisted between said end members about an axis passing between said end members.

25           24. Apparatus as claimed in claim 17, including a first stent electrode positioned with a distal end thereof level with the RV apex, a second smaller stent electrode positioned in the SVC, and a third patch electrode for discharging with the stent electrodes.

30           25. Apparatus as defined in claim 23, wherein each stent electrode comprises a circumferential array of wire filaments and end members connecting the respective ends of the filaments, the filaments being shaped into a basket-like configuration.

26. Apparatus as claimed in claim 24, wherein the wire filaments are twisted between said end members about an axis passing between said end members.

27. An electrode structure for use in  
5 patient-implanted apparatus for applying electrical therapy to a patient's heart, the electrode structure comprising an electrically conducting expandable intravascular stent having a circumferential array of wire filaments and end members respectively connecting  
10 opposite ends of the filaments, the filaments having a basket-like shape.

28. An electrode structure as defined in claim 26, wherein the end members comprise crimp tubes in which the respective ends of the filaments are trapped.

15 29. An electrode structure as defined in claim 27, wherein the crimp tubes have respective blind bores in which the ends of the filaments are trapped, and one of the crimp tubes has a further blind bore for receiving an electrical conductor.

20 30. An electrode structure as defined in claim 28, wherein the other crimp tube includes an inner crimp tube within the respective blind bore and respective ends of the filaments are arranged around the inner crimp tube.

25 31. An electrode structure as defined in claim 27, wherein the end members comprise ring electrodes and the filaments have weld connections with the respective ring electrodes.

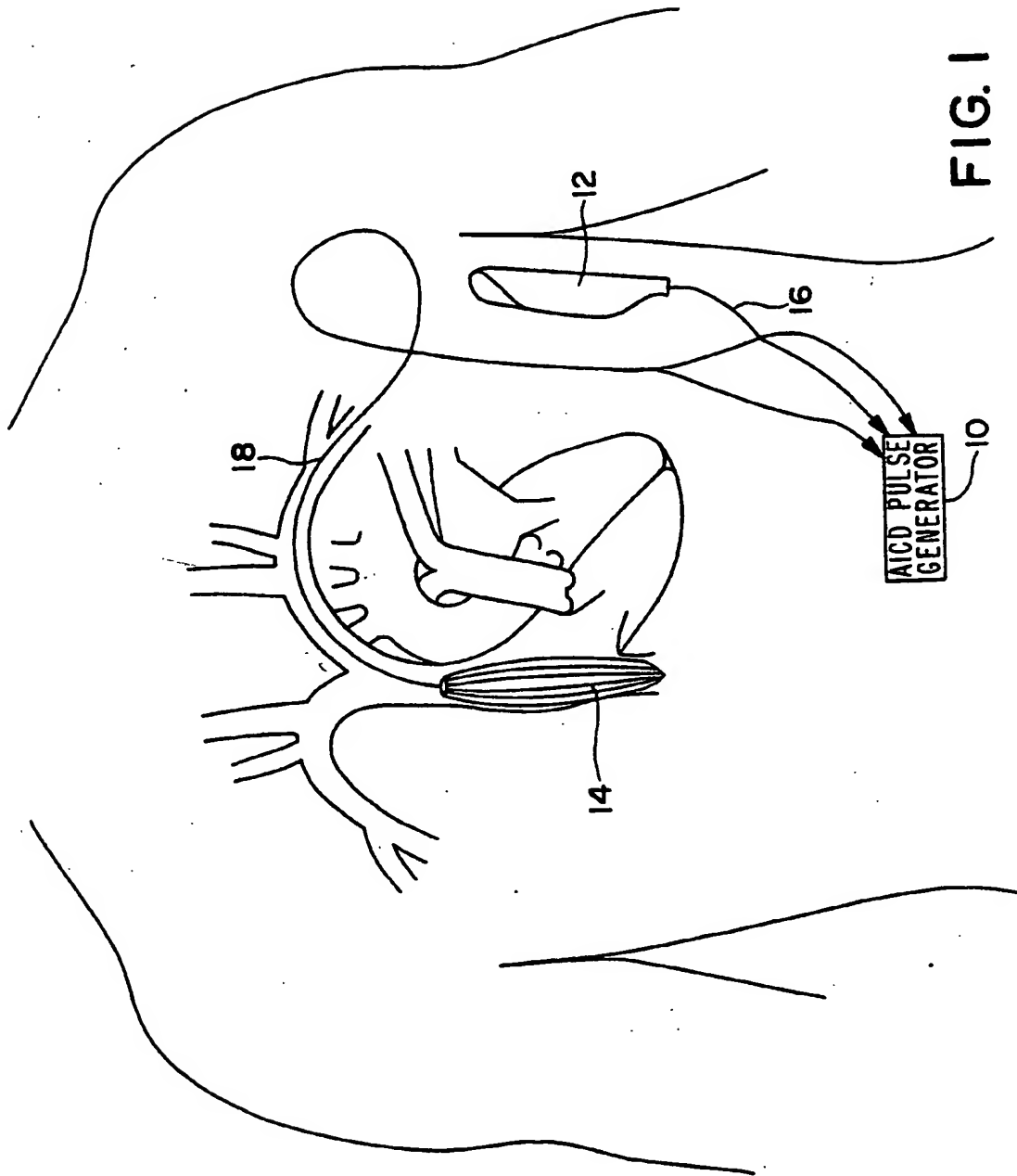
30 32. A method of treating cardiac arrhythmias including tachycardias and fibrillations, comprising the steps of:

implanting at least one intravascular conductive stent electrode in the region of the patient's heart;

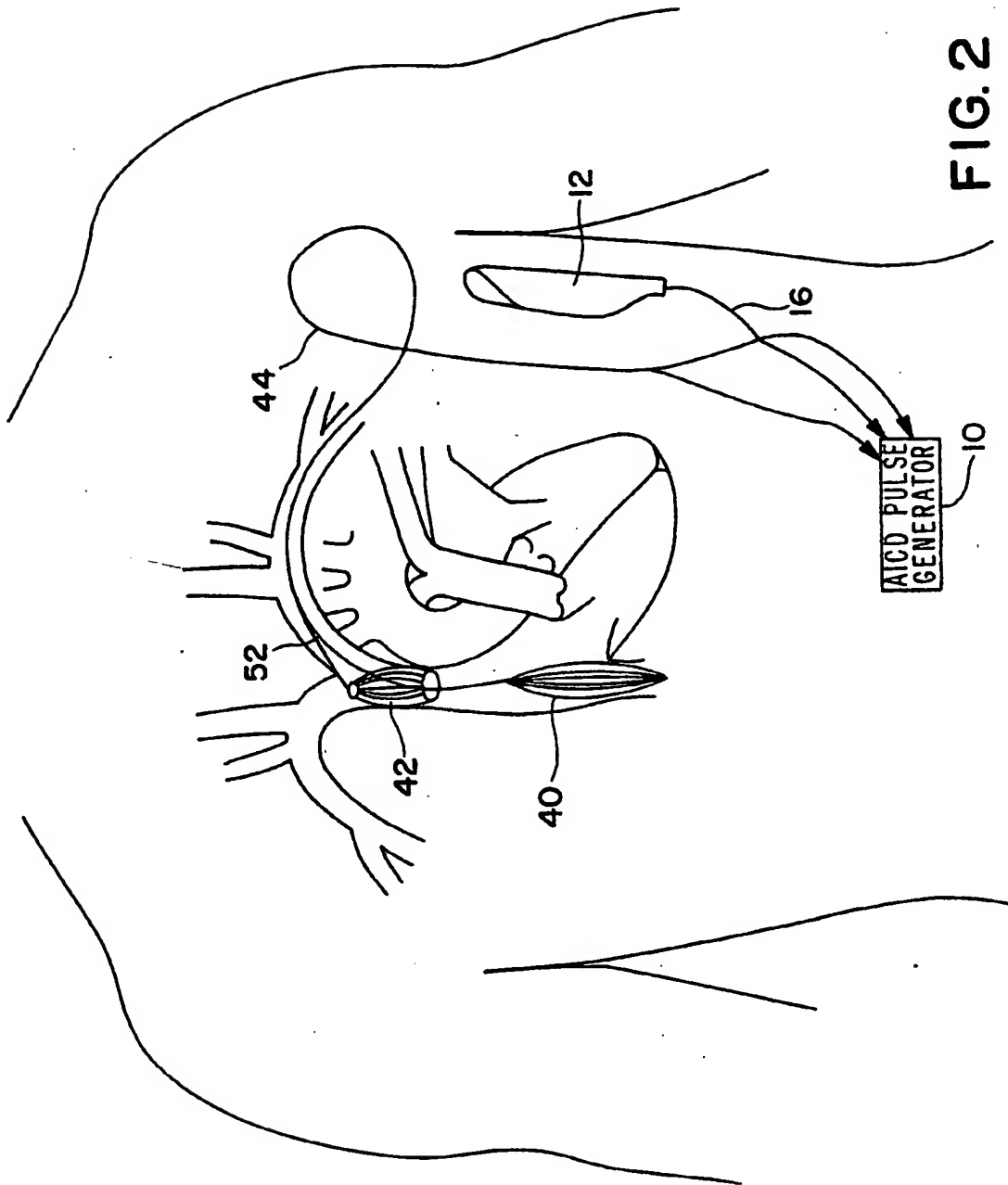
implanting at least one conductive other  
electrode in the region of the patient's heart; and  
discharging rhythm correcting electrical  
energy to the patient's heart from an implanted pulse  
5 generator across said stent and other electrodes.

33. The method according to claim 32, wherein  
the stent electrode has a diameter in a relaxed state  
at least equal to the diameter of the patient's  
vascular system at the location of stent implantation;  
10 and further comprising the step of compressing the  
stent electrode during implantation.

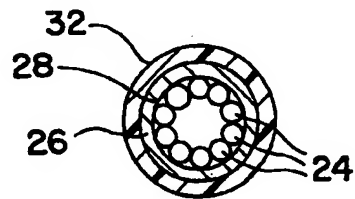
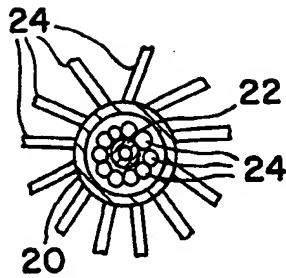
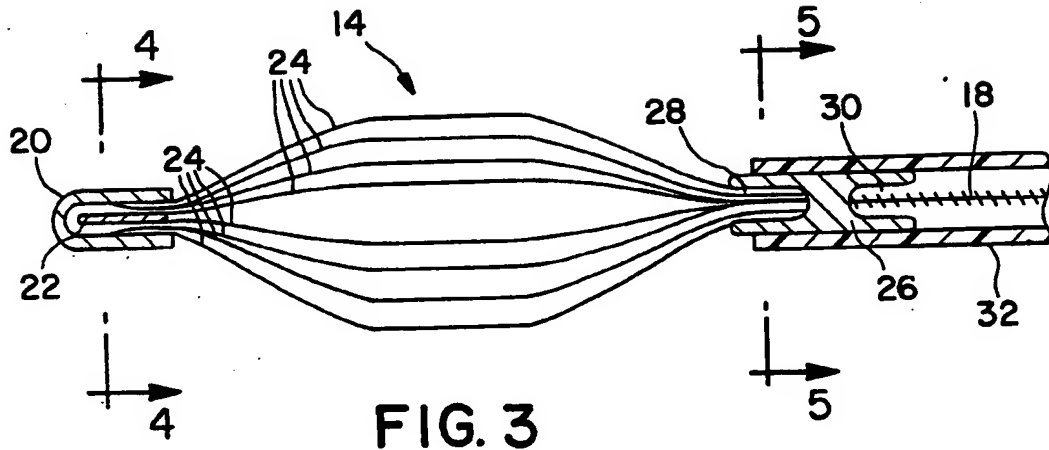
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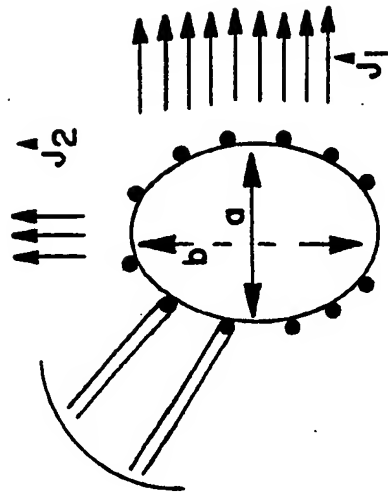


FIG. 9

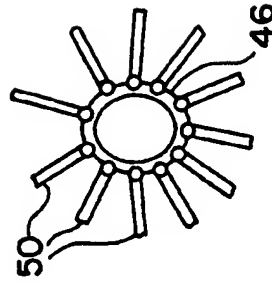


FIG. 7

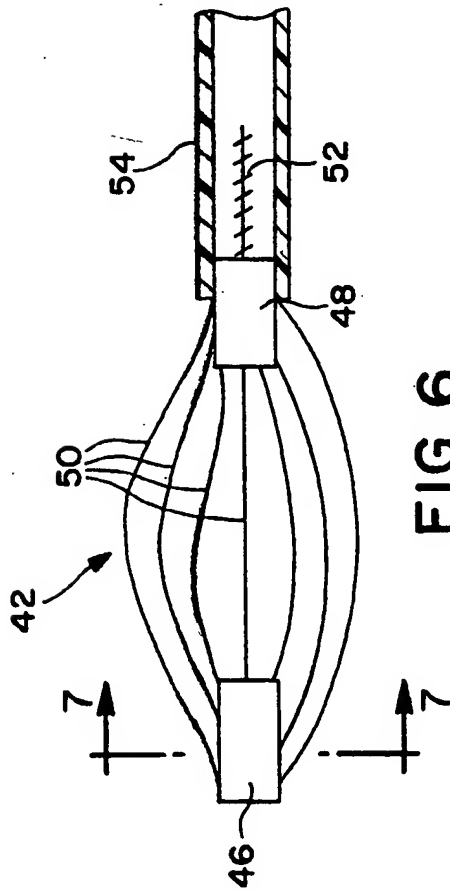


FIG. 6

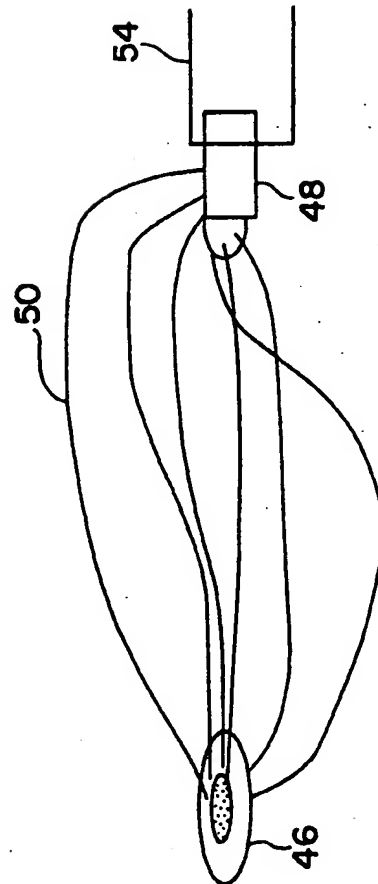


FIG. 8